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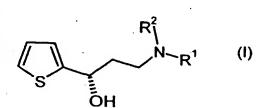
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(54) Title: PROCESS FOR THE PREPARATION OF 3-HYDROXY-(2-THIENYL)PROPANAMINES



(57) Abstract: The present invention relates to a process for the preparation of compounds of the general formula (I) by catalytic enantioselective hydrogenation of the corresponding ketones. There are used inter alia ruthenium catalysts with chiral diamines and chiral biphosphines as ligands.

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Process for the Preparation of 3-Hydroxy-(2-thienyl)propanamines

The present invention is directed to a process for the enantioselective hydrogenation of special α -heteroaryl ketones. In particular the invention relates to a process for the preparation of compounds of the general formula (I):

$$\begin{array}{c}
R_{1}^{2} \\
N_{R_{1}}
\end{array}$$

$$\begin{array}{c}
N \\
OH
\end{array}$$

(I)

This class of compounds is used as intermediates for the synthesis of enantiomer-pure bloactive substances, e.g. Duloxetine.

Duloxetine[®], (S)-(+)-N-methyl-3-(1-naphthyloxy)-3-(2-thienyl)propanamine hydrochloride, is a pharmaceutical that is used as an antidepressant and for the treatment of urinary incontinence. It inhibits the reuptake of both norepinephrine (?) and serotonin. The synthesis of Duloxetine[®] is described in detail in EP-A-273 658, EP-A-457 559 and EP-A-650 965.

Starting from 2-acetylthiophene, in stage A an aminomethylation is carried out with dimethylamine and formaldehyde (Mannich reaction). The 3-dimethylamino-1-(2thienyl)-1-propanone that is formed is reduced in step B by means of complex hydrides to the corresponding alcohol 1hydroxy-1-(2-thienyl)-3-dimethylaminopropane. The alcohol is then converted in step C with an alkali metal hydride and 1-fluoronaphathalene, optionally in the presence of a 10 potassium compound (see EP-A-650 965), into the naphthyl derivate N, N-dimethyl-3-(1-naphthyloxy)-3-(2-thienyl)propanamine. In the last step D the amino group is then demethylated by reaction with a chloroformic acid ester, 15 preferably phenyl chloroformate or trichloroethyl chloroformate, optionally in the presence of a mixture of zinc and formic acid (EP-A-457 559), followed by alkaline hydrolysis of the carbamate to form N-methyl-3-(1naphthyloxy) -3-(2-thienyl) propanamine. The (S)-(+)-

enantiomer of the product in the hydrochloride form is the desired compound $\operatorname{Duloxetine}^{\otimes}$.

Since a racemate is usually formed in the above synthesis of N-methyl-3-(1-naphthyloxy)-3-(2-thienyl)propanamine, special measures are necessary for the selective preparation of the (S)-(+)-enantiomer. For example, EP-A-457 559 discloses an asymmetric reduction in step B by a complex of lithium aluminium hydride and a chiral ligand.

The disadvantage with the aforementioned synthesis pathway 10 is in particular step D, i.e. the demethylation. connection highly corrosive chloroformic acid esters, optionally in combination with toxic zinc, are used in the last stage of the synthesis of a medicament, and carcinogenic methyl chloride is released. Complicated separation and purification steps consequently have to be 15 subsequently employed. A conversion of the dimethylamino group into the desired monomethylamino group in an earlier synthesis stage would therefore be desirable. alternative synthesis pathway for Duloxetine® would lead via the conversion of (S)-N-methyl-3-hydroxy-3-(2-20 thienyl)propanamine to (S)-(+)-N-methyl-3-(1-naphthyloxy)-3-(2-thienyl)propanamine in the last step.

In EP-A-457 559 the enantioselective reduction of N-benzyl-N-methyl-1-(2-thienyl)-1-propanone to N-benzyl-N-methyl-3-

25 (β-hydroxy)-3-(2-thienyl)propanamine is described in
Example 1B. However, there is no indication of how Nmethyl-N-benzyl-3-(β-hydroxy)-3-(2-thienyl)propanamine can
be debenzylated. Investigations carried out by the
inventors of the present application have shown that the
30 conversion of N-methyl-N-benzyl-3-hydroxy-3-(2-

10

thienyl)propanamine with hydrogen in the presence of conventional palladium catalysts in solvents such as alcohols and acetic acid does not lead to the desired debenzylated monomethylamine N-methyl-3-hydroxy-3-(2-thienyl)propanamine.

Catalytic enantioselective hydrogenations of C=O double bonds have in the meantime become standard reactions in organic chemistry. For example GB2351735 discloses the use of certain catalysts in the reduction of special α -aryl methyl ketones. Reference is also made to the use of so-called diphosphine ligands in combination with ruthenium and a chiral diamine in the reduction of this substrate.

It has been found however that one specific catalyst or a class of catalysts cannot be used equally well in all hydrogenations, but that each reduction problem has to be investigated separately with regard to the catalyst use and the conditions. This is all the more so in the case of hydrogenations that take place with catalysts that consist not only of a ligand and a transition metal but that, as outlined in the above case, require two different ligands and the transition metal in order to be sufficiently active.

The object of the present invention was to provide a process for the enantioselective reduction of special α
25 heteroaryl ketones. This process should operate particularly well on an industrial scale having regard to economic and ecological aspects, i.e. should be superior to conventional methods of the prior art as regards space-time yield, enantiomer excesses, robustness and raw material

30 costs or waste disposal costs. In particular the process should be suitable for providing in an advantageous manner

specific enantiomer-enriched alcohols as intermediates for the preparation of $Duloxetine^{\theta}$.

This object is achieved according to the claims. Claim]

Dependent subclaims describe preferred embodiments.

Claim x is directed to a specific intermediate product formed in the present reduction.

Accordingly, in a process for the preparation of enantiomer-enriched compounds of the general formula (I)

$$\begin{array}{c}
R_{1}^{2} \\
N_{R^{1}}
\end{array}$$

(1)

wherein

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 R^1 and R^2 independently of one another denote H, $(C_1-C_8)-$ alkyl, (C_1-C_8) -acyl, (C_1-C_8) -alkoxycarbonyl, $(C_3-C_8)-$ cycloalkyl, (C_6-C_{18}) -aryl, (C_7-C_{19}) -aralkyl, $(C_3-C_{18})-$ heteroaryl, (C_4-C_{19}) -heteroaralkyl, $((C_1-C_8)$ -alkyl)₁₋₃- (C_3-C_8) -cycloalkyl, $((C_1-C_8)$ -alkyl)₁₋₃- (C_6-C_{18}) -aryl, $((C_1-C_8)$ -alkyl)₁₋₃- (C_3-C_{18}) -heteroaryl, or the radicals R^1 and R^2 together form a (C_1-C_8) -alkylene bridge, wherein these may be substituted with one or more (C_1-C_8) -alkyl, (C_3-C_8) -cycloalkyl, (C_6-C_{18}) -aryl, (C_7-C_{19}) -aralkyl, (C_3-C_{18}) -heteroaryl, (C_4-C_{19}) -heteroaralkyl radicals with the formation of further chirality centres,

by enantioselective hydrogenation of compounds of the general formula (II)

$$\begin{array}{c}
R_1^2 \\
N \\
R
\end{array}$$
(II)

wherein R¹ and R² have the meanings given above, the

5 aforementioned object is achieved especially advantageously according to the invention in a particularly surprising and in no way foreseeable manner by using for the hydrogenation a catalyst comprising an enantiomer-enriched bidentate phosphorus-containing ligand, a transition metal and a

10 diamine, preferably a chiral diamine. Enantiomer-enriched alcohols of the general formula (I) can be prepared with the aid of these measures in very short reaction times and with high yields as well as excellent enantiomer excesses. It is particularly advantageous if in the above reaction

15 compounds are used in which R² denotes a COR¹ group.

The term phosphorus-containing ligands is understood by the person skilled in the art to mean preferably bidentate biphosphines or biphosphites, or their mixed forms. Phosphite-containing ligands that may advantageously be used are described for example in J. Am Chem. Soc. 1994, 116, 4101; J. Org. Chem. 1997, 62, 6012; Asymmetry 10 (1999), 2129-2137; Asymmetry 10 (1999), 4009 or also in the supplement "Catalytic asymmetric synthesis", Iwao Ojima, Second Edition, Wiley-VCH 2000 and the literature cited therein. As biphosphine ligands there may be used the ligands mentioned in "Catalytic asymmetric synthesis", Iwao

Ojima, Second Edition, Wiley-VCH 2000. A further summary is published in ACS Symposium Series 641 "Reductions in Organic Synthesis, Chapter 2: Chiral Ruthenium(II)catalysts for Asymmetric Hydrogenation", 1996. An advantageous selection is shown in the following Scheme 1.

Scheme 1:

Further suitable compounds are shown in Scheme 2.

Scheme 2:

10

(R)-MOC-BIMOP

(2S,4S)-BPPM

(R)-cy2-BIPHEMP

MaiPHOS

It is particularly advantageous to use chiral phosphoruscontaining ligands selected from the group consisting of Deguphos, Binap, Phanephos, Norphos, DIOP, Duphos, Prophos, BDPP, BPPM, Malphos, Rophos or Basphos as described in 15 Angew. Chem. 2001, 113, 40-75 and the literature cited therein; in J. Org. Chem. 1999, 64, 6907; in Synthesis 1997, 9, 983-1006 or in Org. Lett. Vol. 2, No. 12, 2000. The compounds disclosed in DE10100971 may also be used 20 equally well.

particularly suitable as phosphite ligands are the ligands shown in Scheme 3.

Scheme 3:

5

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As diamine there may in principle be used all chiral 1,2-diamine species that exhibit a sufficient activity or selectivity in the catalyst under consideration. Suitable diamines are in particular those mentioned in "Catalytic asymmetric synthesis", Iwao Ojima, Second Edition, Wiley-

VCH 2000. A selection is shown in the following Scheme 4.

Scheme 4:

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The use of chiral compounds selected from the group DAIPEN, DPEN, DMDPEN, 1,2-cyclohexyldiamine has proved particularly advantageous.

As transition metals there may in principle be used all transition metals that appear to the person skilled in the art to be suitable for the specific hydrogenation problem. In particular transition metals are selected from the group consisting of Ru, Rh, Ir, Pd, in any oxidation state that appears suitable for this purpose. Various counterions such as for example OTF, ClO₄, SbF₆, PF₆ or BF₄ or the like may be mixed for the purposes of charge equalisation with the overall complex of diamine, phosphine ligand and transition metal.

The advantageous catalyst resulting therefrom has the following structure V:

X is an anion as specified above, for achieving electrical neutrality. Preferred ligands of the general formula (VI)

have as substituents R a (C₃-C₈)-cycloalkyl, (C₆-C₁₈)-aryl,

(C₇-C₁₉)-aralkyl, methoxy-(C₇-C₁₉)-aralkyl group, wherein the phosphane or phosphite groups are covalently bonded to a chiral carbon skeleton. The enantiomer-enriched amine ligands are represented by the general formula VII,

wherein particularly suitable C2-symmetrical ligands, such as are listed in "Catalytic asymmetric synthesis", Iwao Ojima, Second Edition, Wiley-VCH 2000, may be employed.

The catalysts consisting of ligand/transition metal combinations and a corresponding diamine listed in the

following Table I are particularly suitable for the enantioselective hydrogenation of the ketone (II):

Table 1:

Phoenic				
Phosphorus-Containing	Diamine			
Catalyst	Diamine			
(R)-Deguphos-RuC12	1,2-ethylenediamine			
(R)-Deguphos-RuC12	(R, R)-DPEN			
(R)-Deguphos-RuCl2	(R, R)-1,2-diaminocyclohexane			
(R) -Deguphos-RuCl2	(R, R)-DAIPEN			
(R)-BINAP*-RuCl2	1,2-ethylenediamine			
(R) -BINAP* -RuCl2	(R, R)-DPEN			
(R) -BINAP* -RuC12	(R, R)-1,2-diaminocyclohexane			
(R)-BINAP*-RuCl2	(R, R)-DAIPEN			
(S)-DIOP-RuCl2	1,2-ethylenediamine			
(S)-DIOP-RuCl2	(R, R)-DPEN			
(S)-DIOP-RuCl2	(R, R)-1,2-diaminocyclohexane			
(S)-DIOP-RuCl2	(R, R)-DAIPEN			
(S)-PhanePHOS-RuC12	1,2-ethylenediamine			
(S)-PhanePHOS-RuC12	(R, R)-DPEN			
(S)-PhanePHOS-RuCl2	(R, R)-1,2-diaminocyclohexane			
(S)-PhanePHOS-RuCl2	(R, R)-DAIPEN			
(S)-BDPP-RuCl2	1,2-ethylenediamine			
(S)-BDPP-RuCl2	(R, R)-DPEN			
(S)-BDPP-RuCl2	(R, R)-1,2-diaminocyclohexane			
(S)-BDPP-RuCl2	(R, R)-DAIPEN			
(R)-Norphos-RuCl2	1,2-ethylenediamine			
(R)-Norphos-RuCl2	(R, R)-DPEN			
(R)-Norphos-RuCl2	(R, R)-1,2-diaminocyclohexane			
(R)-Norphos-RuCl2	(R, R)-DAIPEN			
(S,S)-BPPM-RuCl2	1,2-ethylenediamine			

Diamine
(R, R)-DPEN
(R, R)-1,2-diaminocyclohexane
(R, R)-DAIPEN
1,2-ethylenediamine
(R, R)-DPEN
(R, R)-1,2-diaminocyclohexane
(R, R)-DAIPEN

^{*)} also includes TolBINAP and XylBINAP

The abbreviations of the ligand names as well as the graphic formulae of the ligands may be found in: Chemicals for Research, Catalog No. 19 from Strem, 2001-2003; Angew. Chem. 2001, 113, 40 [Lit. 16] or also in "Handbook of Chiral Chemicals", David J. Ager, Marcel Dekker Inc., 1999.

It is known to carry out enantioselective catalytic hydrogenations by two process variants that differ in principle (with molecular hydrogen or by transfer

- hydrogenation). Also, the process of the subject matter of the invention may be carried out either in the presence of molecular hydrogen or by means of transfer hydrogenation. Both types of process have been evaluated in the prior art and may be used analogously ("Asymmetric
- transferhydrogenation of C=O and C=N bonds", M. Wills et al. Tetrahedron: Asymmetry 1999, 10, 2045; "Asymmetric transfer hydrogenation catalysed by chiral ruthenium complexes", R. Noyori et al. Acc. Chem. Res. 1997, 30, 97; "Asymmetric catalysis in organic synthesis", R. Noyori,
- John Wiley & Sons, New York, 1994, p. 123; "Transition metals for organic Synthesis" Ed. M. Beller, C. Bolm, Wiley-VCH, Weinheim, 1998, Vol. 2, p. 97; "Comprehensive

the latter.

Asymmetric Catalysis" Ed.: Jacobsen, E.N.; Pfaltz, A.; Yamamoto, H., Springer-Verlag, 1999).

It has proved advantageous if a base is present in the reaction according to the invention. The use of a preferred base is governed by process technology and commercial considerations. The base should be as inexpensive as possible, but apart from this should be particularly effective and above all should not have any negative influence on for example the enantiomer purity of 10 the products that are formed. In this connection alkali metal alcoholates are advantageous, such as for example sodium methanolate, sodium ethanolate or potassium tert.butylate as well as potassium isopropylate or carbonates or hydroxides of alkali or alkaline earth metals. advantageous are organic nitrogen bases such as pyridine, 15 DMAP, triethylamine, Hünig base, 1,2-ethylenediamine, diphenylenediamine, 1,2-di-(4-anisyl)-2-isobutyl-1,2ethylenediamine and 1,2-di-(4-anisy1)-2-isopropy1-1,2ethylenediamine.

20 It is furthermore advantageous to use these bases in a sufficient amount. It has been found that acid residues obviously affect the present reaction in that on the one hand they lead to a low yield and on the other hand cause a low enantiomer enrichment of the products. The person 25 skilled in the art is able to determine a suitably adequate excess of base. A molar excess of base referred to the catalyst used of >1000 : 1 is especially advantageous, an excess of > 100 : 1 being particularly preferred and an excess of > 20 : 1 being most particularly preferred. One of the bases mentioned above is accordingly added to the 30 substrate in an amount of 10-50 %, particularly preferably 5-10 % and most particularly preferably 1-5 % referred to

All solvents known to the person skilled in the art for this purpose may be used provided that they are inert with respect to the reaction according to the invention. In particular these are alcohols, advantageously the complementary alcohols of the alcoholates listed above, such as methanol, ethanol, isopropanol, tert.-butanol in their aqueous or non-aqueous form. The use of a mixture of isopropanol and potassium tert.-butylate is most particularly preferred.

- The hydrogenation catalyst comprising the diamine, transition metal and the phosphorus-containing ligand is advantageously used in a concentration of 0.01-5 mole % referred to the substrate to be hydrogenated. It is particularly preferred to use the catalyst in a
- optimum possible conversion rate. The catalyst is particularly preferably used in a concentration of 0.1-1 mole %, and most particularly preferably in a concentration of 0.1-0.5 mole %.
- The temperature during the reaction may in principle be chosen arbitrarily by the person skilled in the art as long as a sufficiently quick and selective reaction is guaranteed. The reaction is accordingly preferably carried out at temperatures between 0° and 100°C, more preferably between 10° and 80°C and particularly preferably between 20° and 60°C.

If the hydrogenation is carried out in the presence of molecular hydrogen, then a hydrogen pressure of 1-200, preferably 2-100 and particularly preferably between 5-80 bar should be adjusted.

The present invention also provides the cyclic carbamate of the formula III.

(111)

Depending on the reaction conditions, this may occur as a byproduct or main product in the hydrogenation of the corresponding carbamate-protected ketone (DE10207586), but may however advantageously be converted into the desired deprotected form by suitable hydrolysis.

In order to prepare the enantiomer-enriched N-methyl-3-(1hydroxy)-3-(2-thienyl)propanamine the person skilled in the art proceeds by dissolving the corresponding ketone in an alcohol, adding the constituents of the hydrogenation catalyst to the mixture and then performing the hydrogenation at an appropriate temperature and suitable hydrogen pressure. Since the constituents of the hydrogenation catalyst (diamine, transition metal and phosphorus-containing ligand) may be used in several diastereomeric and enantiomeric forms and the complex formed in each case may therefore be present in so-called 20 matched or mismatched configurations with regard to the substrate to be hydrogenated, the person skilled in the art must check which pair of enantiomer-enriched diamine and enantiomer-enriched phosphine ligand work most suitably in the hydrogenation catalyst. To prepare (S)-N-methyl-3-(1hydroxy)-3-(2-thienyl)propanamine it has for example proved

suitable to use the (S)-PhanePhos-RuCl₂-(R,R)-DPEN complex as catalyst.

 (C_1-C_8) -alkyl denotes methyl, ethyl, n-propyl, isopropyl, n-butyl, isobutyl, sec-butyl, tert-butyl, pentyl, hexyl, heptyl or octyl, as well as all bond isomers.

 (C_1-C_8) -alkoxy denotes a (C_1-C_8) -alkyl radical bound via an oxygen atom to the molecule in question.

 (C_1-C_8) -acyl denotes a (C_1-C_8) -alkyl radical bound via a -C(=0) function to the molecule in question.

10 (C_1-C_8) -alkoxycarbonyl denotes a (C_1-C_8) -alkyl radical bound via a -O-C(=O) function to the molecule.

A (C_6-C_{18}) -aryl radical is understood to denote an aromatic radical with 6 to 18 C atoms. This includes in particular species such as phenyl, naphthyl, anthryl, phenanthryl and biphenyl radicals. These may be substituted singly or multiply with (C_1-C_8) -alkoxy, (C_1-C_8) -haloalkyl, OH, Cl, NH₂, NO₂. Also, the radical may contain one or more heteroatoms such as N, O, S.

A (C_7-C_{19}) -aralkyl radical is a (C_6-C_{18}) -aryl radical bound via a (C_1-C_8) -alkyl radical to the molecule.

 (C_1-C_8) -haloalkyl is a (C_1-C_8) -alkyl radical substituted with one or more halogen atoms. Suitable halogen atoms are in particular chlorine and fluorine.

A (C₃-C₁₈)-heteroaryl radical denotes within the scope of the invention a five-membered, six-membered or sevenmembered aromatic ring system of 3 to 18 C atoms that contains heteroatoms such as for example nitrogen, oxygen or sulfur in the ring. Such heteroaromatics are in particular radicals such as 1-, 2-, 3-furyl, 1-, 2-, 3-pyrrolyl, 1-, 2-, 3-thienyl, 2-, 3-, 4-pyridyl, 2-, 3-, 4-, 5-, 6-, 7-indolyl, 3-, 4-, 5-pyrazolyl, 2-, 4-, 5-imidazolyl, acridinyl, chinolinyl, phenanthridinyl, 2-, 4-, 5-, 6-pyrimidinyl. These may be singly or multiply substituted with (C₁-C₈)-alkoxy, (C₁-C₈)-haloalkyl, OH, halogen, NH₂, NO₂, SH, S-(C₁-C₈)-alkyl.

A (C_4-C_{19}) -heteroaralkyl is understood to denote an heteroaromatic system corresponding to the (C_7-C_{19}) -aralkyl radical.

The expression (C₁-C₈)-alkylene bridge is understood to mean a (C₁-C₈)-alkyl radical that is bound via two different C atoms to the relevant molecule. This may be singly or multiply substituted with (C₁-C₈)-alkoxy, (C₁-C₈)-haloalkyl, OH, halogen, NH₂, NO₂, SH, S-(C₁-C₈)-alkyl or (C₆-C₁₈)-aryl.

 (C_3-C_8) -cycloalkyl is understood to denote cyclopropyl, cyclobutyl, cyclopentyl, cyclohexyl, cycloheptyl or cyclooctyl radicals. This may be singly or multiply substituted with (C_1-C_8) -alkoxy, (C_1-C_8) -haloalkyl, OH, halogen, NH₂, NO₂, SH, S- (C_1-C_8) -alkyl or (C_6-C_{18}) -aryl.

Halogen is fluorine, chlorine, bromine or iodine.

The illustrated chemical structures relate to all possible stereoisomers that can be obtained by altering the configuration of the individual chiral centres, axes or planes, i.e. all possible diastereomers as well as all optical isomers (enantiomers) included therein.

Enantiomer-enriched or enantiomerically enriched denotes the presence in the mixture of an enantiomer in an amount of >50% compared to its optical antipode.

The specifications cited here are considered to be part of the disclosure. This application refers to the priority application DE10233724 which is herewith incorporated by referrence to its entirety. In particular it is referred to the disclosure of the usage of the Phanephos as ligand in present reaction. All the possibilities for residues R₂ or X¹ and X² mentioned in DE10233724 for compounds of formula (III) may be equally applied herein.

Example 1: (S)-3-N-ethoxycarbonyl-N-methylamino-1-(2-thienyl)-1-propanol

4.9 g (20.4 mmole) of 3-N-ethoxycarbonyl-N-methylamino-1-(2-thienyl)-1-propanone are added to a 100 ml Büchi stirred autoclave and the latter is evacuated. 18.4 mg (0.02 mmole) of (R)-TolBINAP-RuCl₂-(1R, 2R)-diphenylethylenediamine are dissolved together with 0.4 ml (0.4 mmole) of a 1 M potassium tert.-butylate solution in 40 ml of isopropanol, stirred for 15 minutes, and sucked into the 10 autoclave. After flushing with hydrogen, hydrogen is pumped in under a pressure of 10 bar and the mixture is hydrogenated for 2 hours at 40°C. The reaction mixture is filtered through Celite and concentrated by evaporation. 5.8 g of a yellowish-brown oil remain, which according to HPLC contains the desired alcohol in an enantiomer excess (ee) of 80.1 %. The conversion is > 96 %. After standing for a fairly long time, the content of cyclic carbamate (III) increases significantly.

 $^{1}\text{H-NMR}$ (DMSO-d⁶): 1.15 (t,CH₃), 1.9 (m, CH₂), 2.85 (s, N-20 CH₃), 3.20 (m, CH₂), 4.0 (q, O-CH₂), 4.8 (m, CH), 5.65 (t, OH), 6.95 (m, 2H-arom.), 7.4 (m, 1H-arom.).

Example 2: (S)-[(N-methyl)-4-(2-thienyl)-tetrahydro-2H-oxazin-2-one (cyclic carbamate III).

50 g (207.4 mmole) of 3-N-ethoxycarbonyl-N-methylamino-1- (2-thienyl)-1-propanone are placed in a 1 l stirred autoclave which is then evacuated. 195 mg (0.2 mmole) of (R)-TolBINAP-RuCl₂-(1R, 2R)-diphenylethylenediamine are dissolved together with 2.2 ml (2.2 mmole) of a 1 M potassium tert.-butylate solution in 450 ml of isopropanol,

stirred for 15 minutes and sucked into the autoclave. After flushing with hydrogen, hydrogen is forced in under a pressure of 10 bar and the mixture is hydrogenated for 24 hours at 40°C. The reaction mixture is filtered through Celite and concentrated by evaporation. 52 g of a yellowish-brown oil remain, which slowly solidifies on standing. According to HPLC the oil contains the desired compound in an amount of > 80 %. 20 g of the crude product are stirred in isopropanol and suction filtered. The raw material is recrystallised from isopropanol. 6.7 g (34 %) of the cyclic carbamate were obtained.

 $^{1}H-NMR$ (DMSO-d⁶): 2.18 (m, CH₂), 2.85 (s, N-CH₃), 3.35 (m, CH₂), 5.6 (dd, O-CH), 7.0 (m, 1H-arom.), 7.15 (m, 1H-arom.), 7.55 (m, 1H).

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Example 3: 4.9 g (20.4 mmole) of 3-N-ethoxycarbonyl-N-methylamino-1-(2-thienyl)-1-propanone are placed in a 100 ml Büchi stirred autoclave, which is then evacuated. 4.9 mg (0.51 mmole) of (S)-PhanePhos-RuCl₂-(1R, 2R)-20 diphenylethylenediamine are dissolved together with 0.8 ml (0.8 mmole) of a 1 M potassium tert.-butylate solution in 40 ml of isopropanol, stirred for 15 minutes, and sucked into the autoclave. After flushing with hydrogen, hydrogen is forced in under a pressure of 10 bar and the reaction mixture is hydrogenated for 2 hours at 40°C. The reaction mixture is filtered through Celite and the filtrate is concentrated by evaporation. 4.1 g of a yellowish-brown oil remain, which according to HPLC has an ee of 93.4 %.

The monomethyl alcohol can be obtained according to a known procedure, which is described in application DE10207586, in

> 99 % ee from the enantiomer-enriched alcohol or cyclic carbamate after splitting off the protective groups.

Patent Claims:

 Process for the preparation of enantiomer-enriched compounds of the general formula (I)

$$R^2$$
 N_R
OH
(I)

wherein

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 R^1 and R^2 independently of one another denote H, (C_1-C_8) -alkyl, (C_1-C_8) -acyl, (C_1-C_8) -alkoxycarbonyl, (C_3-C_8) -cycloalkyl, (C_6-C_{18}) -aryl, (C_7-C_{19}) -aralkyl, (C_3-C_{18}) -heteroaryl, (C_4-C_{19}) -heteroaralkyl, $((C_1-C_8)$ -alkyl)₁₋₃- (C_3-C_8) -cycloalkyl, $((C_1-C_8)$ -alkyl)₁₋₃- (C_6-C_{18}) -aryl, $((C_1-C_8)$ -alkyl)₁₋₃- (C_3-C_{18}) -heteroaryl, or the radicals R^1 and R^2 together form a (C_1-C_8) -alkylene bridge, wherein these may be substituted with one or more (C_1-C_8) -alkyl, (C_3-C_8) -cycloalkyl, (C_6-C_{18}) -aryl, (C_7-C_{19}) -aralkyl, (C_3-C_{18}) -heteroaryl, (C_4-C_{19}) -heteroaralkyl radicals with the formation of further chirality centres,

by enantioselective hydrogenation of compounds of the general formula (II)

$$\begin{array}{c|c}
R_1^2 \\
N - R^1
\end{array}$$

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wherein R¹ and R² have the meanings given above, with a catalyst comprising an enantiomer-enriched bidentate phosphorus-containing ligand, a transition metal and a diamine.

- 2. Process according to claim 1, characterised in that chiral phosphorus-containing ligands are used selected from the group comprising Deguphos, Binap, Phanephos, Norphos, DIOP, Duphos, Prophos, BDPP, BPPM, Malphos, Rophos or Basphos.
- 3. Process according to claim 1, characterised in that as diamine a chiral compound is used selected from the group DIAPEN, DPEN, DMDPEN, 1,2-cyclohexyldiamine.
- Process according to claim 1, characterised in that as
 transition metal a metal is used selected from the
 group comprising Ru, Rh, Ir, Pd.
 - 5. Process according to one or more of the preceding claims, characterised in that hydrogenation is carried out in the presence of molecular hydrogen or by means of transfer hydrogenation.
 - 6. Process according to one or more of the preceding claims, characterised in that the hydrogenation is carried out in the presence of a base.
- 7. Process according to claim 6, characterised in that
 25 the base is used in a molar amount of >10 : 1 referred to the catalyst.

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- 8. Process according to one or more of the preceding claims, characterised in that the hydrogenation is carried out in solvents selected from the group comprising methanol, ethanol, isopropanol, tert.—butanol in their aqueous or non-aqueous form.
- 9. Process according to one or more of the preceding claims, characterised in that the catalyst comprising the diamine, transition metal and the phosphorus-containing ligand is used in a concentration of 0.1-0.5 mole %.
- 10. Process according to one or more of the preceding claims, characterised in that the temperature during the hydrogenation is between 0° and 100°C, more preferably between 10° and 80°C and particularly preferably between 20° and 60°C.
- 11. Process according to one or more of the preceding claims, characterised in that in the case of hydrogenation with molecular hydrogen, a hydrogen pressure of 1-200, preferably 2-100 and particularly preferably between 5 and 80 bar is adjusted.
- 12. Cyclic carbamate of the formula III.

A. CLASSI IPC 7	FICATION OF SUBJECT MATTER C07D333/20			
According to	o International Patent Classification (IPC) or to both national classific	·· 100		
	SEARCHED.	ation and IPO		
Minimum do	ocumentation searched (dassification system followed by classification	ion symbols)		
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	tion searched other than minimum documentation to the extent that s	such documents are much	uded in the neros searc	thed .
Electronic da	ata base consulted during the international search (name of data ba	ase and, where practical	search terms used)	
	ternal, CHEM ABS Data, BEILSTEIN Da			
				. •
C. DOCUME	ENTS CONSIDERED TO BE RELEVANT			
Category *	Citation of document, with indication, where appropriate, of the re	levant passages		Relevant to claim No.
				1 1010 1011 101 101
Χ .	NOYORI R., AT AL.: "General Asym Hydrogenation of Hetero-aromatic	nmetric Ketones"		1-12
	ORGANIC LETTERS, vol. 2, no. 12, 2000, pages 1749-	-1751,		•
.	XP002255184 compounds (R,R)-2, 13, (S)-14, (S	c) iĖ		
·	page 1751, column 2, line 10 - 1 figure 1		*	
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Furth	ner documents are listed in the continuation of box C.	Patent family n	members are listed in ar	nnex.
 Special cat 	tegories of cited documents:	*T* later document publi	lished after the internati	ional filing date
"A" docume:	ent defining the general state of the art which is not ered to be of particular relevance	or phority date and cited to understand	not in conflict with the the the principle or theory	application but
"E" earlier d	focument but published on or after the international	invention	lar relevance; the claim	
"L" docume	ale nt which may throw doubts on priority, claim(s) or	cannot be consider	red novel or cannot be one step when the document is a ste	considered to
citation	is clied to establish the publication date of another to other special reason (as specified)	 "Y" document of particul cannot be consider 	lar relevance; the claims	ed invention we step when the
otner m		document is combi ments, such combi	ined with one or more of ination being obvious to	ther such docu-
P documer later th:	nt published prior to the international filling date but an the priority date claimed	in the art. *&* document member of	-	•
Date of the a	actual completion of the international search	Date of mailing of the	he international search i	report
22	2 September 2003	06/10/20	003	
Name and m	nailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2	Authorized officer		
	NI 2280 HV Rījswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016	Seelmanr	n, I	

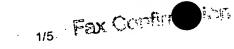
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		I hereby acknowledge the duty to
į.		disclose information that is known by me
ļ		to be material to patentability as
		defined by 37 C.F.R. § 1.56, including
		for continuation-in-part applications,
		material information which became
		available between the filing date of the
		prior application and the PCT
İ		international filing date of the
		continuation-in-part application.
		I hereby declare that all statements
		made herein of my own knowledge are true
		and that all statements made on
		information and belief are believed to
	i i	be true; and further that these
ì		statements were made with the knowledge
ļ		that willful false statements and the
		like so made are punishable by fine or
	ĺ	imprisonment, or both, under Section
		1001 of Title 18 of the United States
	•	Code and that such willful false
		statements may jeopardize the validity
		of the application or any patent issued
		thereon.
VIII-4-1	Name:	HEMS, William
-1-1 VIII-4-1		Ely, United Kingdom
-1-2	(city and either US State, if applicable,	Ely, United Rangdomy
VIII-4-1	or country) Mailing address:	29 Old Brewery Close
-1-3	Walling address.	
VIII-4-1 -1-4	Citizenship:	GB 1
VIII-4-1		1 (1.1) 1 (2.10) 1-1
-1-5	(if not contained in the request, or if declaration is corrected or added under	1—10+117/11/U
	Rule 26ter after the filing of the	
	international application. The signature must be that of the inventor, not that of	
	the agent)	0 %
VIII-4-1	Date: (of signature which is not contained in	318 Tuly 2003.
-1-6	the request, or of the declaration that is	
	corrected or added under Rule 26ter after the filing of the international	·
	application)	

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VIII-4-1	Name:	ROSSEN, Kai
-2-1	7-60	
VIII-4-1	Residence:	Hanau, Germany De K
-2-2	(city and either US State, if applicable,	
VIII-4-1	or country) Mailing address:	Händelstrasse 3B
-2-3	Maining address.	nandelstrasse 3b
VIII-4-1	Citizenship:	DE
-2-4		
VIII-4-1	Inventor's Signature:	4
-2-5	(if not contained in the request, or if declaration is corrected or added under Rule 26ter after the filing of the international application. The signature must be that of the inventor, not that of the agent)	Uin Rimen
VIII-4-1		
-2-6	(of signature which is not contained in the request, or of the declaration that is	July 30, 2003
	corrected or added under Rule 26ter	
	after the filing of the international application)	
VIII-4-1	Name:	REICHERT, Dietmar
VIII-4-1 -3-1	Name: 3-60	
-3-1 VIII-4-1	Residence:	REICHERT, Dietmar Eschau, Germany
-3-1	Residence: (city and either US State, if applicable,	
-3-1 VIII-4-1 -3-2	Residence: (city and either US State, if applicable, or country)	Eschau, Germany Dix
-3-1 VIII-4-1 -3-2 VIII-4-1	Residence: (city and either US State, if applicable,	
-3-1 VIII-4-1 -3-2 VIII-4-1 -3-3	Residence: (city and either US State, if applicable, or country) Mailing address:	Elsavastrasse 79
-3-1 VIII-4-1 -3-2 VIII-4-1	Residence: (city and either US State, if applicable, or country)	Eschau, Germany DEX
-3-1 VIII-4-1 -3-2 VIII-4-1 -3-3 VIII-4-1 -3-4	Residence: (city and either US State, if applicable, or country) Mailing address:	Eschau, Germany DEX Elsavastrasse 79 DE
-3-1 VIII-4-1 -3-2 VIII-4-1 -3-3 VIII-4-1 -3-4	Residence: (city and either US State, if applicable, or country) Mailing address: Citizenship: Inventor's Signature: (if not contained in the request, or if	Eschau, Germany DEX Elsavastrasse 79 DE
-3-1 VIII-4-1 -3-2 VIII-4-1 -3-3 VIII-4-1 -3-4 VIII-4-1	Residence: (city and either US State, if applicable, or country) Mailing address: Citizenship: Inventor's Signature: (if not contained in the request, or if declaration is corrected or added under	Eschau, Germany DEX Elsavastrasse 79 DE
-3-1 VIII-4-1 -3-2 VIII-4-1 -3-3 VIII-4-1 -3-4 VIII-4-1	Residence: (city and either US State, if applicable, or country) Mailing address: Citizenship: Inventor's Signature: (if not contained in the request, or if declaration is corrected or added under Rule 26ter after the filing of the	Elsavastrasse 79
-3-1 VIII-4-1 -3-2 VIII-4-1 -3-3 VIII-4-1 -3-4 VIII-4-1	Residence: (city and either US State, if applicable, or country) Mailing address: Citizenship: Inventor's Signature: (if not contained in the request, or if declaration is corrected or added under Rule 26ter after the filing of the international application. The signature	Eschau, Germany DEX Elsavastrasse 79 DE
-3-1 VIII-4-1 -3-2 VIII-4-1 -3-3 VIII-4-1 -3-4 VIII-4-1	Residence: (city and either US State, if applicable, or country) Mailing address: Citizenship: Inventor's Signature: (if not contained in the request, or if declaration is corrected or added under Rule 26ter after the filing of the international application. The signature must be that of the inventor, not that of	Eschau, Germany DEX Elsavastrasse 79 DE
-3-1 VIII-4-1 -3-2 VIII-4-1 -3-3 VIII-4-1 -3-4 VIII-4-1 -3-5	Residence: (city and either US State, if applicable, or country) Mailing address: Citizenship: Inventor's Signature: (if not contained in the request, or if declaration is corrected or added under Rule 26ter after the filing of the international application. The signature must be that of the inventor, not that of the agent)	Eschau, Germany DEX Elsavastrasse 79 DE
-3-1 VIII-4-1 -3-2 VIII-4-1 -3-3 VIII-4-1 -3-4 VIII-4-1	Residence: (city and either US State, if applicable, or country) Mailing address: Citizenship: Inventor's Signature: (if not contained in the request, or if declaration is corrected or added under Rule 26ter after the filing of the international application. The signature must be that of the inventor, not that of the agent)	Eschau, Germany Dex Elsavastrasse 79 DE Thimas Reichart
-3-1 VIII-4-1 -3-2 VIII-4-1 -3-3 VIII-4-1 -3-4 VIII-4-1 -3-5	Residence: (city and either US State, if applicable, or country) Mailing address: Citizenship: Inventor's Signature: (if not contained in the request, or if declaration is corrected or added under Rule 26ter after the filing of the international application. The signature must be that of the inventor, not that of the agent) Date: (of signature which is not contained in the request, or of the declaration that is	Eschau, Germany Dex Elsavastrasse 79 DE Diffus Richart
-3-1 VIII-4-1 -3-2 VIII-4-1 -3-3 VIII-4-1 -3-4 VIII-4-1 -3-5	Residence: (city and either US State, if applicable, or country) Mailing address: Citizenship: Inventor's Signature: (if not contained in the request, or if declaration is corrected or added under Rule 26ter after the filing of the international application. The signature must be that of the inventor, not that of the agent) Date: (of signature which is not contained in the request, or of the declaration that is corrected or added under Rule 26ter	Eschau, Germany Dex Elsavastrasse 79 DE Diffus Reidurt
-3-1 VIII-4-1 -3-2 VIII-4-1 -3-3 VIII-4-1 -3-4 VIII-4-1 -3-5	Residence: (city and either US State, if applicable, or country) Mailing address: Citizenship: Inventor's Signature: (if not contained in the request, or if declaration is corrected or added under Rule 26ter after the filing of the international application. The signature must be that of the inventor, not that of the agent) Date: (of signature which is not contained in the request, or of the declaration that is	Eschau, Germany Dex Elsavastrasse 79 DE Diffus Richart

VIII-4-1 -4-1	Name:	KÖHLER, Klaus
VIII-4-1	Residence:	Hainburg, Germany
-4-2	(city and either US State, if applicable, or country)	
VIII-4-1 -4-3	Mailing address:	Kettelerstrasse 37
VIII-4-1 -4-4	Citizenship:	DE .
VIII-4-1 -4-5	Inventor's Signature: (if not contained in the request, or if declaration is corrected or added under Rule 26ter after the filing of the international application. The signature must be that of the inventor, not that of the agent)	Eller bioha
VIII-4-1 -4-6	Date: (of signature which is not contained in the request, or of the declaration that is corrected or added under Rule 26ter after the filing of the international application)	5003,00 july
VIII-4-1	Name:	ALMENA PEREA, Juan José
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-5-2	Residence: (city and either US State, if applicable, or country)	Hanau, Germany
VIII-4-1 -5-3	Mailing address:	Friedrichstrasse 2d
VIII-4-1 -5-4	Citizenship:	ES .
VIII-4-1 -5-5	Inventor's Signature: (if not contained in the request, or if declaration is corrected or added under Rule 26ter after the filing of the international application. The signature must be that of the inventor, not that of the agent)	S.S.
VIII-4-1 -5-6		JULY 31, 2003



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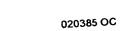
CT REQ	Original (for SUBM	ISSION) - printed on 18.07.2003 64.33.64
	or receiving Office use only	PCT/EP 03/07927
· 1	ternational Application No.	
2 In	ternational Filing Date	2 1 JUL 2003 (2 1. 07. 03)
3 N	lame of receiving Office and "PCT nternational Application"	EUROPEAN PATENT OFFICE PCT INTERNATIONAL APPLICATION
	Form - PCT/RO/101 PCT Request	
- 1	Prepared using	PCT-EASY Version 2.92 (updated 01.04.2003)
	Petition The undersigned requests that the present international application be processed according to the Patent	Office (EPO) (RO/EP)
0-6	Cooperation Treaty Receiving Office (specified by the	European Patent Office (
0-7	applicant) Applicant's or agent's file reference	020385 OC PROCESS FOR THE PREPARATION OF
1	Title of invention	PROCESS FOR THE FRANKINGS 3-HYDROXY-(2-THIENYL) PROPANAMINES
11 11-1 11-2 11-4 11-5	Applicant This person is: Applicant for Name Address:	applicant only all designated States except US DEGUSSA AG Bennigsenplatz 1 D-40474 Düsseldorf
II-6 II-7 II-8	State of nationality State of residence Telephone No.	Germany DE DE 0 61 81 / 59-39 24 0 61 81 / 59-43 04
11-9 111-1 111-1-2 111-1-4 111-1-5	Applicant for Name (LAST, First)	applicant and inventor US only HEMS, William 29 Old Brewery Close Elv. Cambridgeshire CB7 4QE
III-1- III-1-	e -:domeo	United Kingdom GB



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111-2	Appli	icant and/or inventor	applicant and inventor
111-2-1	This	person is:	
111-2-2		cant for	US only
111-2-4	Nam	e (LAST, First)	ROSSEN, Kai Händelstrasse 3B
111-2-5	Addr	ress:	D-63452 Hanau
	1		Germany
			DE
111-2-6		e of nationality	DE
111-2-7		te of residence	
111-3	1	plicant and/or inventor	applicant and inventor
111-3-1	1	s person is:	US only
111-3-3		plicant for	REICHERT, Dietmar
111-3-		me (LAST, First)	Elsavastrasse 79
111-3-	5 Ad	ldress:	D-63863 Eschau
			Germany
		ate of nationality	DE
111-3		tate of residence	DE
111-3		pplicant and/or inventor	7.40
111-4		his person is:	applicant and inventor
111-4		pplicant for	US only
111-4		lame (LAST, First)	KÖHLER, Klaus
		Address:	Kettelerstrasse 37
111-	475 /		D-63512 Hainburg
			Germany
111-	4-6	State of nationality	DE
m	-4-7·	State of residence	DE
111	-5	Applicant and/or inventor	applicant and inventor
111	1-5-1	This person is:	applicant und
111		Applicant for	US only ALMENA PEREA, Juan José
11	1-5-4	Name (LAST, First)	Friedrichstrasse 2d
11	1-5-5	Address:	D-63450 Hanau
			Germany
	•		ES
	11-5-6	State of nationality	DE
	111-5-7	State of residence	



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by/has been appointed to act on lif of the applicant(s) before the petent International Authorities as: lie ress: ephone No. csimile No. signation of States	DEGUSSA AG Intellectual Property Management PATENTE und MARKEN Standort Hanau Postfach 13 45 D-63403 Hanau Germany 0 61 81 / 59-39 24 0 61 81 / 59-43 04
person identified below is by/has been appointed to act on lif of the applicant(s) before the petent International Authorities as: life ress:	DEGUSSA AG Intellectual Property Management PATENTE und MARKEN Standort Hanau Postfach 13 45 D-63403 Hanau Germany 0 61 81 / 59-39 24 0 61 81 / 59-43 04
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(other kinds of protection or treatmer if any, are specified between parentheses after the designation(s)	EE ES FI GB GD GE GH GM HK HO LS LT LU IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NI NO NZ OM LV MA MD MG MK MN MW MX MZ NI NO NZ OM
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V-5	Precautionary Designation Statement		
	In addition to the designations made		
	Lunder items V-1 V-2 and V-3, the		
	applicant also makes under Rule 4.9(b)		
	all designations which would be permitted under the PCT except any	•	•
	designation(s) of the State(s) indicated		
	under item V-6 below. The applicant		•
	declares that those additional		
	designations are subject to confirmation		
	and that any designation which is not		
	confirmed before the expiration of 15		
	months from the priority date is to be		•
	regarded as withdrawn by the applicant at the expiration of that time limit.		
	Exclusion(s) from precautionary	NONE	
V-6	designations	NONE	
VI-1	Priority claim of earlier national		
	application		2002)
VI-1-1	Filing date	24 July 2002 (24.07.2	.002)
VI-1-2	Number	102 33 724.1	
VI-1-3	Country	DE	
VI-2	Priority claim of earlier national		
	application	11 December 2002 (11	.12.2002)
VI-2-1	Filing date		
VI-2-2	Number	102 58 098.7	•
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VI-2-3	Country	DE	(TO) (TO) (FD)
VI-2-3		DE European Patent Offic	ce (EPO) (ISA/EP)
	Country International Searching Authority Chosen	European Patent Offic	ce (EPO) (ISA/EP)
VI-2-3	International Searching Authority Chosen Declarations	DE European Patent Offic Number of declarations	ce (EPO) (ISA/EP)
VI-2-3 VII-1	International Searching Authority Chosen Declarations Declaration as to the identity of the	European Patent Offic	ce (EPO) (ISA/EP)
VI-2-3 VII-1 VIII	International Searching Authority Chosen Declarations Declaration as to the identity of the inventor	European Patent Offic Number of declarations	ce (EPO) (ISA/EP)
VI-2-3 VII-1	International Searching Authority Chosen Declarations Declaration as to the identity of the inventor Declaration as to the applicant's	European Patent Offic Number of declarations	ce (EPO) (ISA/EP)
VI-2-3 VII-1 VIII	International Searching Authority Chosen Declarations Declaration as to the identity of the inventor Declaration as to the applicant's entitlement, as at the international filing	European Patent Offic Number of declarations	ce (EPO) (ISA/EP)
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VI-2-3 VII-1 VIII VIII-1 VIII-2	International Searching Authority Chosen Declarations Declaration as to the identity of the inventor Declaration as to the applicant's entitlement, as at the international filing date, to apply for and be granted a patent Declaration as to the applicant's	European Patent Offic Number of declarations	ce (EPO) (ISA/EP)
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VI-2-3 VIII-1 VIII-2 VIII-3	International Searching Authority Chosen Declarations Declaration as to the identity of the inventor Declaration as to the applicant's entitlement, as at the international filing date, to apply for and be granted a patent Declaration as to the applicant's entitlement, as at the international filing date, to claim the priority of the earlier application Declaration of inventorship (only for the purposes of the designation of the United States of America) Declaration as to non-prejudicial disclosures or exceptions to lack of	European Patent Office Number of declarations	
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VI-2-3 VIII-1 VIII-2 VIII-3 VIII-5	International Searching Authority Chosen Declarations Declaration as to the identity of the inventor Declaration as to the applicant's entitlement, as at the international filing date, to apply for and be granted a patent Declaration as to the applicant's entitlement, as at the international filing date, to claim the priority of the earlier application Declaration of inventorship (only for the purposes of the designation of the United States of America) Declaration as to non-prejudicial disclosures or exceptions to lack of novelty Check list	European Patent Office Number of declarations	
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VI-2-3 VIII-1 VIII-2 VIII-3 VIII-4 VIII-5 IX IX-1 IX-2	International Searching Authority Chosen Declarations Declaration as to the identity of the inventor Declaration as to the applicant's entitlement, as at the international filing date, to apply for and be granted a patent Declaration as to the applicant's entitlement, as at the international filing date, to claim the priority of the earlier application Declaration of inventorship (only for the purposes of the designation of the United States of America) Declaration as to non-prejudicial disclosures or exceptions to lack of novelty Check list Request (including declaration sheets) Description	Number of declarations	electronic file(s) attached EZABST00.TXT
VI-2-3 VIII-1 VIII-2 VIII-3 VIII-5 IX IX-1 IX-2 IX-3	International Searching Authority Chosen Declarations Declaration as to the identity of the inventor Declaration as to the applicant's entitlement, as at the international filing date, to apply for and be granted a patent Declaration as to the applicant's entitlement, as at the international filing date, to claim the priority of the earlier application Declaration of inventorship (only for the purposes of the designation of the United States of America) Declaration as to non-prejudicial disclosures or exceptions to lack of novelty Check list Request (including declaration sheets) Description Claims	Number of declarations number of sheets 5 22 3	electronic file(s) attached

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		to-mont(s) attached	electronic file(s) attached
	Accompanying items	paper document(s) attached	
	Fee calculation sheet	✓	ļ -
	Original separate power of attorney	·	-
1	Copy of general power of attorney	reference no. AV 43529	- :
3	Priority document(s)	Item(s) VI-1, VI-2	-
7	PCT-EASY diskette		Diskette
9	Figure of the drawings which should accompany the abstract		
0	Language of filing of the international application	English	
	Signature of applicant, agent or common representative	Ste	L Robert
-1	Name	DEGUSSA AG	
2	Name of signatory	i. V. Dr. Stefan Re	tzow
-3	Capacity	AV 43529	

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10-1	Date of actual receipt of the purported international application	2 1 JUL 2003		$\frac{(21.0)}{}$	7 2003)
10-2	Drawings:	``				
10-2-1	Received		•			
10-2-2	Not received	19				
10-3	Corrected date of actual receipt due to later but timely received papers or drawings completing the purported international application				· · · · · · · · · · · · · · · · · · ·	
10-4	Date of timely receipt of the required corrections under PCT Article 11(2)					
10-5	International Searching Authority	ISA/EP				
10-6	Transmittal of search copy delayed until search fee is paid				<u> </u>	· · · · · · · · · · · · · · · · · · ·

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VIII-4-1	Declaration: Inventorship (only for the purposes of the designation of	
	the United States of America) Declaration of inventorship (Rules	I hereby declare that I believe I am the
	4.17(iv) and 51bis.1(a)(iv)) for the	original, first and sole (if only one
	purposes of the designation of the United States of America:	inventor is listed below) or joint (if
	United States of America.	more than one inventor is listed below)
		inventor of the subject matter which is
		inventor of the subject matter is
		claimed and for which a patent is
	·	sought.
		This declaration is directed to
		international application No.
		PCT/EP03/07927 (if furnishing declaration
		pursuant to Rule 26ter)
		I hereby declare that my residence,
		mailing address, and citizenship are as
	·	stated next to my name.
		I hereby state that I have reviewed and
		understand the contents of the
	Ì	above-identified international
		application, including the claims of
	·	said application. I have identified in
		the request of said application, in
		compliance with PCT Rule 4.10, any claim
		to foreign priority, and I have
		identified below, under the heading
		"Prior Applications," by application
		number, country or Member of the World
		Trade Organization, day, month and year
		of filing, any application for a patent
	·	or inventor's certificate filed in a
	1	country other than the United States of
		Country other and and other participal

priority is claimed.

America, including any PCT international application designating at least one country other than the United States of America, having a filing date before that of the application on which foreign

VIII-4-1 Prior applications:

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	I hereby acknowledge the duty to
	disclose information that is known by me
l	to be material to patentability as
l	defined by 37 C.F.R. § 1.56, including
١	for continuation-in-part applications,
١	material information which became
۱	available between the filing date of the
١	prior application and the PCT
١	international filing date of the
١	continuation-in-part application.
I	I hereby declare that all statements
	made herein of my own knowledge are true
	and that all statements made on
	information and belief are believed to
	be true; and further that these
	statements were made with the knowledge
	that willful false statements and the
	like so made are punishable by fine or
	imprisonment, or both, under Section
	1001 of Title 18 of the United States
	Code and that such willful false
	statements may jeopardize the validity
	of the application or any patent issued
	thereon.
_	water william

VIII-4-1	Name:
VIII-4-1	Residence:
-1-2	(city and either US State, if applicable, or country)
VIII-4-1 -1-3	Mailing address:
VIII-4-1 -1-4	Citizenship:
VIII-4-1	Inventor's Signature:
-1-5	(if not contained in the request, or if declaration is corrected or added under Rule 26ter after the filing of the international application. The signature must be that of the inventor, not that of the agent)
VIII-4-1	Date: .
-1-6	(of signature which is not contained in the request, or of the declaration that is corrected or added under Rule 26ter after the filing of the international application)

HEMS, William

Ely, United Kingdom

29 Old Brewery Close

GB

318 Dry 2003

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VIII-4-1 -2-1	Name:	ROSSEN, Kai	•	
VIII-4-1	Residence:	Hanau, Germany		
-2-2	(city and either US State, if applicable, or country)			
VIII-4-1 -2-3	Mailing address:	Händelstrasse 3B		
	Citizenship:	DE		
VIII-4-1 -2-5	Inventor's Signature: (if not contained in the request, or if declaration is corrected or added under Rule 26ter after the filing of the international application. The signature must be that of the inventor, not that of the agent)	· ·		•
VIII-4-1 -2-6				
VIII-4-1		REICHERT, Dietmar		
-3-1				
VIII-4-1 -3-2	Residence: (city and either US State, if applicable, or country)	Eschau, Germany	•	• • • • • • • • • • • • • • • • • • • •
VIII-4-1 -3-3	1	Elsavastrasse 79		
VIII-4-1 -3-4	Citizenship:	DE	•	• , ;
VIII-4-1 -3-5	Inventor's Signature: (if not contained in the request, or if declaration is corrected or added under Rule 26ter after the filing of the international application. The signature must be that of the inventor, not that of the agent)			
VIII-4-1 -3-6	Date: (of signature which is not contained in the request, or of the declaration that is corrected or added under Rule 26ter after the filing of the international application)			

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VIII-4-1	Name:	KÖHLER, Klaus
-4-1		
VIII-4-1	Residence:	Hainburg, Germany
-4-2	(city and either US State, if applicable, or country)	
VIII-4-1	Mailing address:	Kettelerstrasse 37
-4-3	Maining address.	Necocaca same
VIII-4-1	Citizenship:	DE
-4-4		
VIII-4-1	Inventor's Signature:	
-4-5	(if not contained in the request, or if declaration is corrected or added under	
	Rule 26ter after the filing of the	·
	international application. The signature	
	must be that of the inventor, not that of	
•	the agent)	
VIII-4-1	Date:	
-4-6 .	(of signature which is not contained in the request, or of the declaration that is	
	corrected or added under Rule 26ter	
	after the filing of the international	
•	application)	
VIII-4-1	Name:	ALMENA PEREA, Juan José
-5-1	no constant	Hanau, Germany
VIII-4-1 -5-2	Residence: (city and either US State, if applicable,	nanau, Germany
-3-2	or country)	
VIII-4-1		Friedrichstrasse 2d
-5-3	•	
VIII-4-1	Citizenship:	ES
-5-4		
VIII-4-1 -5-5	Inventor's Signature: (if not contained in the request, or if	•
-5-5	declaration is corrected or added under	
	Rule 26ter after the filing of the	
	international application. The signature	
	must be that of the inventor, not that of	• • •
VIII-4-1	the agent) Date:	
-5-6	(of signature which is not contained in	,
	the request, or of the declaration that is	
	corrected or added under Rule 26ter	
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